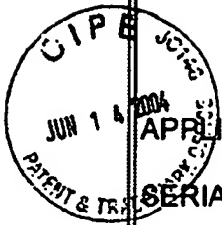


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



APPLICANT(S): Colgan et al.

Examiner: Qi, Zhi Qiang

SERIAL NO.: 09/891,682

Group Art Unit: 2871

FILED: June 26, 2001

Dated: June 11, 2004

FOR: LCD CELL CONSTRUCTION BY MECHANICAL THINNING OF A  
COLOR FILTER SUBSTRATE

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addt. Fee	Rate	Addt. Fee
TOTAL CLAIMS*	18	21	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	5	4	1	x 43 =	\$0	x 86 =	\$86.00
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				140		290	\$0

- \* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 11, 2004.

Dated: June 11, 2004

  
Frank V. DeRosa

[X] Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$ 86.00 . Two (2) copies of this sheet are enclosed.

[ ] A check in the amount of \$ \_\_\_\_\_ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLP  
1900 Hempstead Turnpike, Suite 501  
East Meadow, NY 11554  
(516) 357-0091

Respectfully submitted,



Frank V. DeRosa  
Reg No. 43,584  
Attorney for Applicant(s)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



**Applicants:** Colgan, et al.

**Examiner:** Qi, Zhi Qiang

**Serial No:** 09/891,682

**Group:** Art Unit 2871

**Filed:** June 26, 2001

**Docket:** YOR920010004US1 (8728-480)

**For:** **LCD CELL CONSTRUCTION BY MECHANICAL THINNING OF  
A COLOR FILTER SUBSTRATE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Mail Stop - AF

**AMENDMENT**

This is a response to the Office Action mailed on March 11, 2004. Please amend the application as follows:

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**CERTIFICATE OF MAILING 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA, 22313-1450, on June 11, 2004.

Dated: 6/11/04

  
Frank V. DeRosa